# Toward a Scientific Theory of Free Will

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## Debating Free Will... But What Is It?

- Exemption from causality?
- Souls/minds causing behavior?
- Decide on your own?
  - Acting against external pressure ?
- Morally responsible choices?
- Legal, political freedom?

# A Scientific Theory of Free Will

- Nothing supernatural
- Nothing non-causal
  - Causal but not deterministic
- Compatible with known facts, e.g. evolution
- Goal: explain "new" kind of action control found among humans
  - Conscious thought, rational thought, reasons, deliberate choice
  - Moral, economic factors
  - Responsible autonomy

# What is Free Will? Autobiographical Narratives

- Write account of experience, you took action, either:
  - Of own free will
  - Not of your own free will
  - ...Then coded for differences

#### Free Will Narratives Results

- Free actions associated with more...
  - Deliberate choice
  - Positive outcomes, goal attainment
  - Long-term goals (but not short-term)
    - Also delayed benefits
  - Reflection, conscious thought
  - Consistent with own moral values
  - Acting against external pressures
  - Less harm to own social group

# Social / Cultural Definition

- Responsible autonomy
  - Cf. computer or robot

## How is Free Will Scientifically Possible?

Conceptual issues...

# Philosophers' Arguments about Free Will

- Yes or no? All or nothing?
- Free will or determinism?
  - Or both??

#### Determinism

- Pierre Simon LaPlace (1749-1827)
- If we could know the precise situation of every particle in the universe now, plus all the laws of nature, we could calculate the future with complete accuracy and precision
- Thus, there is only one possible future, and it is already determined

# The Wrong Debate: Determinism Vs. Free Will

- Misunderstandings of free will
  - Causation by soul
  - Exemption from causality
- Misunderstanding of determinism
  - As merely causation
- Causality is not the enemy
- Many kinds of causation
  - Free will is just another kind of causing

# Uselessness of Determinism (Causal Inevitability of the Sole Possible Future)

- Unworkable as basis for psychological theory, for understanding mind
- Psychological phenomena involve multiple possible alternatives...

# Against Predestination: Things That Might Happen Yet Might Not

- Threat, danger, opportunity, affordance
- Success, failure, competition
- Choice among alternatives, control
- Moral judgment, responsibility
- Contingencies, planning, revising
- Negotiation, compromise
- Promise, obligation, commitment

## Uselessness of Determinism cont'd

- FIRST, it is unworkable as basis for understanding mind & human behavior
- Situation structure contains possibilities
- Agency, control inherently based on multiple possibilities

## Uselessness of Determinism

- SECOND, it is unworkable as basis for living daily life:
- "We cannot choose to act as if we have no choice."

-- J. Sherman

## Reductionism...

- Can the laws of physics explain everything that happens in the universe?
- Can psychology be fully explained by brain activity, brain activity by chemical reactions, chemistry by physics?

## Reductionism

- Can the laws of physics explain everything that happens in the universe? (No)
- Can psychology be fully explained by brain activity, brain activity by chemical reactions, chemistry by physics? (No)

## Brain Science vs. Free Will

- The brain works like a deterministic machine
  - Neurons are biochemical items that operate in lawful fashion
- The brain causes human behavior
- Therefore there can be no free will

# **Emergent Causality**

- Anderson: 'More is different'
- How do chemical causes emerge from physical ones? Or biological from chemical?
- Meaning as nonphysical connection
- Free will only within culture?
  - If so, then physical processes alone will not explain free will

# Anderson's hierarchy of sciences

- Sociology, Economics
- Psychology
- Physiology
- Biology
- Chemistry
- Physics

## Brain Science vs. Free Will

- The brain works like a deterministic machine
  - Neurons are biochemical items that operate in lawful fashion
- The brain causes human behavior
- Therefore there can be no free will
- (This REDUCTIONIST argument does not allow for emergence of new causal processes at higher levels of organization)

"What if, somewhere deep in the brain, there are mysterious events that operate independently of the ordinary laws of physics and that are somehow tied to the will of the brain's owner? In light of the available evidence, this is **highly** unlikely...there is not a shred of scientific evidence to support the existence of causally effective processes in the mind or brain that violate the laws of physics."

- Greene & Cohen, 2004

# "There are only molecules. Everything else is sociology."

- James Watson

# Chemicals: Atoms / Molecules

Oxygen

Carbon

Hydrogen

Nitrogen

Calcium

Phosphorus

Potassium

Sulfur

Sodium

Magnesium

Copper, Zinc, Selenium, Molybdenum, Fluorine, Chlorine, Iodine, Manganese, Cobalt, Iron Lithium, Strontium, Aluminum, Lead, Vanadium, Arsenic, Bromine

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# Social Scientist's Task(s)

- Explain how (and why) humans developed new form of action control
- Explain how people negotiate situations that have multiple possible outcomes
- Explain how human social systems operate on the basis of each person's responsible autonomy.

# Self-organization of pattern from chaotic randomness

- Physical reality (from Big Bang)
  - But entropy
- Life
  - Negative entropy: strives toward order
- Agency / Social organization
  - Imposes order
- Free will / Cultural organization...
  - Cooperates with giant social system

# **Evolution of Agency**

- Plant vs. animal life
  - Brains
- Animal behavior: classical vs. operant conditioning
  - Fixed vs. voluntary behavior
- Agency 2.0 ("free will")
  - Socially meaningful reasons
  - Cultural animals

## Social Animal, Cultural Animal

- Humans are social animals
  - Like many other species
- Also cultural animals
  - Far more than any other species
  - Essence of what we are, uniquely

## Culture

- New & better way of being social
- Culture is humankind's biological strategy
  - And a highly successful one
- But interfacing has advanced hardware requirements
  - Culture requires a powerful, complex brain

## What makes us human

- The distinctively human traits are those designed to enable us to create, sustain, and function in culture
  - E.g., evolved capabilities for language

## **Evolution of Free Will**

- For functioning in culture
- Payoff: improved survival, reproduction
  - Because of cultural environment
- System can function only if people cooperate to some degree
  - Free will is for following rules! ....
- Free from Skinnerian, Pavlovian laws of stimulusbound behavior
  - Freedom is partial at best

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(Free will is for enabling individual animals to pursue enlightened self-interest in a social environment with many rules.)

## **Evolution of Free Will**

- For functioning in culture
- Payoff: improved survival, reproduction in a cultural environment
- System can function only if people cooperate to some degree
  - Free will is for following rules!
- Free from instinctual & animal-learning processes of stimulus-bound behavior
  - Freedom is partial at best

## Evolved for culture

- Responsible autonomy is what society needs, for social systems to function
  - Take care of self, function independently within rules & system
- New form of agency required
  - Moral agent
  - Economic agent
  - Information agent
- How did that emerge? How does that operate?

# The Reality Behind the Idea: Our Contribution

- Self-control
- Decision making
- Initiative
- ...Common underlying energy resource, fluctuating availability
  - Note does not lend itself to yes-or-no answer to free will question
  - The 'real' free will, or the source of the illusion
  - There is something real to study; can debate whether it qualifies as truly free will

# Self-Regulation / Self-Control

- Overrides response
- How the self controls itself
- Distinctively human trait: central to human evolution as cultural animals
- Widely and powerfully predictive of success in human life

# Ego Depletion: Implications

- Self-control consumes energy
- The same energy is used for many different acts and kinds of self-control
- Even small, brief acts of self-control begin to deplete it

# Summary: Effects of Depletion on Decision Making

- ➤ Depletion increases decision deferral, inaction
- Depletion reduces compromise choice, fosters preference for extreme options (less integrative trading off)
- Depletion increases asymmetric dominance (letting the easy choice, over the decoy, trump the harder tradeoff choice)

### Thus:

Making choices depletes the same resource that is used for self-regulation.

Same for intelligent thought.

One common energy resource is used for selfregulation, for intelligent reasoning, and for effortful decision making.

# Self-Regulation and Rational Choice: A Link?

- Both seem to deplete energy resource
  - Consistent with free will notion
- Suggests common mechanism
- Perhaps evolution selected one, then piggybacked the other onto same system
  - Self-regulation first: alter behavior to follow rules, usually a group's rules
  - Rational choice means you can make your own rules and follow them

### Depletion --> Passive

- Executive function requires energy for active initiative
- Thus initiative also uses the same energy

# Stephan Lau: Subjective Freedom



### Feeling of Freedom:

When do people say their choices were freest?

- Process Model
  - Having more options
  - Not knowing what will happen (uncertain)
  - Multiple reasons for each option
    - Conflicting reasons
  - No time limit (option to defer)
  - Options are more equal in quality
  - High effort in choosing

# Feeling of Freedom

- Outcome Model
  - Getting a good result, easily

### Lau &al. Data on Causes of Feeling Free

- Outcome model wins over and over
  - No support for process model
- More effort to choose leads to less freedom
- Uncertainty, conflict reduce feeling free
- Football/soccer game: feel freer after winning than losing, regardless of other factors
- Freer choosing between two good options than between two bad ones

# Conclusion: Subjective Freedom

- People report feeling free when decisions are easy and lead to a good outcome
- No support for process model of freedom

### Effects of Belief in Free Will

# Effects/Consequences of Disbelief in Free Will

- More cheating, stealing (Vohs & Schooler, 2008)
- More aggression, less helping (Baumeister, Masicampo, & DeWall, 2009)
- Less punitive toward strangers (Shariff et al., 2014)
- More conformity (Alquist et al., 2013)
- Less counterfactual thinking (Alquist et al., 2015)
- Less learning moral lessons from own misdeeds (Stillman et al, 2010)
- Less gratitude (MacKenzie et al., 2014)

# **Implications**

- Believing in free will makes people behave in ways that support cultural rules and values
- Fits the view that belief in free will is pragmatically useful for society and culture
- Free will beliefs promote responsible autonomy, which is vital for society

# What *Causes* People to Believe Vs. Disbelieve in Free Will?

Why do human cultures believe in free will?

#### Beliefs about Free Will

- Human social life is only possible based on shared assumptions
  - Responsible autonomy is a helpful one
- Trust: must assume other can overcome natural, selfish impulses to fulfill social obligation
- Cultural system only functions if most people obey rules
  - Rule of law as major predictor
  - Responsible autonomy again

### Twilight of the Idols, Friedrich Nietzsche

"Men were considered 'free' so that they might be judged and punished..."

# Why People Believe in Free Will

- So they can blame their neighbors for their misdeeds
- Blame is for social punishment, which establishes a sense of moral responsibility
  - Useful for society for people to feel moral responsibility

#### **Data:** What Causes Free Will Beliefs?

- Contemplating bad actions by others increases belief in free will
  - Though not if directed at bad people
- Immoral acts elicit free will beliefs more than morally good ones.
  - Likewise, bad people more than good people
- Reading about bad acts makes people dislike research on automaticity
- Countries with higher murder & crime rates have higher belief in free will
  - Also imprison more

# **Implications**

- Shows why people believe in free will
- Extends past work on motivated attributions of responsibility on an individual level to free will as a general construct
- Suggests a way to increase (rather than decrease) free will belief

#### Conclusion

- If free will exists, this is it.
  - Unlikely that free will exists but is something else than this set of processes by which we make moral decisions and act like responsible, autonomous, civilized beings.
- Forget about issues of non-causality, determinism
  - Causal theory with mechanism
- Reality behind the idea of free will involves distinctively human form of action control
  - Responsible autonomy
- Beliefs in free will support behaviors useful to society & culture
- Free will beliefs are increased by impulse to hold people responsible for misdeeds

# THE END

# Might and Might Not

- Violates determinism
- Essential to human psychology: many phenomena & processes are based on that
- Can probability theory have any basis in empirical facts of deterministic universe?

#### Free Will as Another Kind of Cause

- Issue is not whether causality was involved
- Rather, issue is whether person was able to act properly, with responsible autonomy
- Must be able to take care of self within the rules
  - Free will is for following rules
- Autonomy within system constraints
  - Cf. games

# Summary Thus Far

- Social scientists interested in free will should downplay issue of escaping causality
- Instead, focus on emergence of responsible autonomy

# CANINE REGULATIONS

MUST BE ON LEASHES
L.A.M.C. 53.56

MUST BE LICENSED
L.A.M.C. 53.28

MUST PICK UP DEFECATION BY OWNERS L.A.M.C. 53.49

(Free will is for enabling individual animals to pursue enlightened self-interest in a social environment with many rules.)

(Responsible autonomy...)